

# self-reliance learning agenda Review of Selected Evidence<sup>1</sup>, October 2019

Sources included here were identified by USAID in 2019 to inform the development of the Self-Reliance Learning Agenda. These sources are not comprehensive; future versions of this tool will include additional sources.

| SRLA Question #                       | USAID Policies<br>and Official<br>Guidance | Assessments<br>of USAID<br>Policies | USAID<br>Evaluations and<br>Syntheses | Non USAID<br>Evaluations | USAID Funded<br>Reports                  | Non USAID<br>Publications | Case Studies                                       | Websites<br>and Blogs                   |
|---------------------------------------|--|-------------------------------------|---------------------------------------|--------------------------|--|---------------------------|--|---|
| Please note: For the full te          | ext of each SRLA qu                        | estion, please refer                | to pp. 3 6 of this do                 | ocument. The num         | bers in each cell refe                   | er to the evidence s      | ources in the chart                                | starting on pg. 7.                      |
| #I Capacity and<br>Commitment         | 1, 3, 5, 17                                | 35                                  | 39, 40                                | 44, 45                   | 46, 47, 50, 51,<br>61, 62, 63, 64,<br>66 | 78, 79                    | 84, 86   | 103, 122                                |
| #2 Trajectories                       | 1, 17, 18                                  |                                     | 40                                    |                          | 48, 49, 52                               | 71, 72                    |  | 104                                     |
| #3 Metrics                            | I, 8, 9                                    |                                     |                                       |                          | 67, 68, 69                               |                           |  | 105, 114                                |
| #4 PSE                                | 1, 2, 6, 7, 14, 15,<br>16, 25              | 35                                  |                                       |                          |  |                           | 96   | 106                                     |
| #5 FSR                                | I, 6, 10, 11, 12,<br>13                    |                                     |                                       |                          | 53, 54, 55, 59                           | 73                        | 87, 88, 89, 90,<br>91, 92, 93, 94,<br>96, 101, 102 | 107, 110, 115,<br>121                   |
| #6 Humanitarian<br>Assistance         | I  |                                     | 39, 40                                | 44                       | 46, 51, 56                               | 74, 75, 81, 82            |  | 116, 117, 118, 119                      |
| #7 Fragile Contexts                   | ١, 2                                       |                                     |                                       |                          | 56                                       | 71, 76, 83                | 98, 99, 100  |   |
| #8 Design, Procurement,<br>Management | I, 6, 19, 20, 24,<br>25                    | 32                                  |                                       |                          | 60                                       |                           | 96, 97   | 108                                     |
| #9 Influence, Knowledge,<br>Convening | I  |                                     | 41                                    |                          | 57, 58                                   |                           | 98, 99, 100  |   |
| #10 Diverse Voices                    | 1, 25, 30, 31                              | 36, 37, 38                          | 42                                    | 45                       | 46, 60, 63                               | 73, 75, 77, 80,<br>81, 82 | 84, 85   | 103, 108, 109,<br>112, 118, 120,<br>122 |
| #II Systems                           | I, 25, 26, 27, 28,<br>29, 30, 31           |                                     | 41, 42                                | 44, 45                   | 47, 57, 60                               | 78, 79                    |  | 105, 108, 112,<br>113, 122              |
| #I2 Measurement                       | I, 5                                       |                                     | 40, 41, 42, 43                        |                          | 46, 62, 66, 67,<br>68, 69, 70            | 77                        | 95   | 105, 112, 114,<br>120, 122              |
| #13 USAID Operations                  | I, 4, 17, 21, 22,<br>23                    | 33, 34, 35, 36,<br>37, 38           |                                       |                          | 64, 65, 66, 67,<br>68                    |                           | 85, 95   | 111, 122                                |

1 This Review of Selected Evidence was produced for review by the United States Agency for International Development (USAID). It was prepared by the LEARN mechanism, managed by Dexis Consulting Group.

# HOW TO USE THE GRID

The numbers in each cell of the grid above refer to the evidence sources listed in the chart beginning on p. 7. Some link to sources that are internal USAID sources, while other sources are public. The text of the 13 questions can be found by scrolling down to read the overview of sources for each question below.

The grid above and the table below are set up to meet the needs of different kinds of users. For example, those who are interested solely in Financing Self-Reliance (FSR) might want to read the overview of the evidence sources for Question #5 below, then look in the Selected Evidence Grid at the row for Question #5 and click on whichever kinds of evidence fit their needs (e.g., evaluations, reports, etc.). On the other hand, those who are interested in finding some short, accessibly written pieces on FSR might choose to read only those items in the "Websites and blogs" column. The numbers in individual cells of the Selected Evidence Grid pertain to the relevant evidence sources from the Sources of Evidence Table. Readers who are interested in browsing through sources of evidence for many of the questions may instead prefer to look through this Table, clicking on those sources they would like to look at in greater detail. The SRLA question numbers most directly related to each piece of evidence are noted to the left of each citation in the Table, but many evidence sources have relevance for even more of the SRLA questions, so USAID encourages readers to review the sources broadly.

# **OBJECTIVES**

USAID is committed to partnering with countries along their Journeys to Self-Reliance, as reflected in USAID's Policy Framework. Self-reliance refers to a country's capacity to plan, finance, and implement solutions to local development challenges, and a commitment to see these through effectively, inclusively, and with accountability. The Self-Reliance Learning Agenda (SRLA) contributes to a broader understanding of self-reliance and aid effectiveness, and addresses critical knowledge gaps.

This SRLA Review of Selected Evidence has two main objectives. First, it is meant to provide a foundation for future evidence generation and dissemination by identifying and categorizing 122 pieces of evidence relevant to the 13 SRLA questions. Second, USAID hopes this review will encourage individuals in USAID/Washington and Missions, as well as in organizations outside USAID, to share with USAID additional relevant evidence, experiences, and ongoing learning activities. Please refer to the *How to Stay Engaged* section below.

The 13 SRLA questions and their illustrative sub-questions were finalized in mid-2019, after which USAID began to identify relevant types and sources of evidence, especially evidence that USAID itself had generated in the past. While many of the items listed below originated in USAID, most of them, particularly the policy documents, draw on non-USAID sources of evidence from various fields of international development. USAID's Policy Framework, for example, contains 177 footnotes and references dozens of scholarly articles and books as well as policy documents from other aid organizations. While we are clearly still in the beginning stages of evidence generation and dissemination with the SRLA, there already exists a strong foundation of knowledge upon which we will be able to build.

USAID hopes that the Selected Evidence Grid above and Sources of Evidence Table below will be helpful for the following types of individuals:

- SRLA Question Team members<sup>2</sup>;
- USAID Mission staff members and implementing partners who are engaged in strategic planning or program design; and
- Individuals inside and outside the Agency who wish to learn from these resources and contribute their own evidence.

<sup>2</sup> There is a Question Team and Question Coordinator for each of the 13 SRLA questions.

# HOW TO STAY ENGAGED

**USAID** is aware that this Review of Selected Evidence is far from comprehensive. We invite users to contact us at SRLA@usaid.gov with any additional sources of evidence or information about ongoing learning activities that address one or more of the SRLA questions. We welcome all sorts of evidence, from academic research to experiential knowledge to case studies. In fact, a number of sources included in the Review of Selected Evidence came from individuals outside of USAID who responded to an earlier call for evidence relevant to the SRLA questions. The SRLA's Selected Evidence Grid is intended to be a living resource, not a timeless, comprehensive compendium of all the evidence relevant to the SRLA's I3 questions, so it will be updated regularly. We also welcome the involvement of anyone interested in participating in the SRLA, whether you are from USAID/Washington, USAID Missions, implementing partners, or any other organization. You can learn more about the Journey to Self-Reliance at https://www.usaid.gov/selfreliance.

# **OVERVIEW OF SOURCES BY QUESTION**

In order to provide a brief introduction to the sources listed in the grid and table, the main highlights are summarized here. These question-by-question overviews are not comprehensive literature reviews; rather, they are intended to provide entry points for readers interested in the topics addressed by the SRLA questions. A few overall trends emerge, however:

- Included here are many more sources of evidence pertaining to capacity than to commitment. This is likely due, at least in part, to the selection process not having included sources on policy dialogue and policy reform, which is where commitment is most obviously manifested. Also, the sources included here focus primarily on capacity at the organizational level, unlike the USAID Policy Framework's focus on capacity at the country level — an area that will be explored more fully in future source reviews.
- The question with the most numerous sources is Question #10 (How can local, sub-national, national, and regional voices, priorities, and contributions be integrated into how USAID fosters self-reliance?). Both this question and Question #11 (How can we engage local and other relevant systems such that they become more self-reliant and sustain results?) have evidence sources across all of the genres of evidence.
- The question with the fewest evidence sources is Question #9 (In fostering self-reliance, how can we use influence, knowledge, and convening power to complement projectized support?).

As noted above, we invite readers to contact USAID at SRLA@usaid.gov with any additional sources of evidence for any of the SRLA questions.

# SRLA QUESTION #I

What are the change pathways around how capacity and commitment come together to build self-reliance, and what are the implications for USAID programs? How can we foster the capacity and commitment of all actors at different levels of the system (local, sub-national, national, and regional)?

The Evidence Grid contains a wide array of sources for the SRLA's Question #1, many of which themselves contain references to other important sources. Readers may want to begin exploring evidence that addresses this question by reviewing USAID Policy Framework (Evidence Source #1) for an understanding of the role of capacity and commitment in the theory of change underpinning the Journey to Self-Reliance. Overall, many more sources identified for this question address how to develop capacity rather than how to encourage commitment in partner countries. The paucity of evidence on commitment in this selection of sources is a gap that USAID will be seeking to fill over the coming months; we invite anyone with completed or ongoing learning in this area to get in touch with us at SRLA@usaid.gov. In terms of capacity<sup>3</sup>, the Paper Series on Capacity and Capacity Strengthening (Evidence Sources #61, #62, #63, and #64) shares insights from a subset of the literature examined by the SRLA Landscape Analysis on topics including: different dimensions of capacity, processes for determining existing capacity, approaches to strengthening capacity with local actors, and the importance of critically reflecting on development practitioners' own capacity.

<sup>3</sup> The literature examined for the SRLA Landscape Analysis engaged with issues of capacity at the level of organizations and individual actors, not at the country level as defined by USAID's Self-Reliance Country Roadmaps.

#### SRLA QUESTION #2

What is the spectrum of trajectories countries follow toward self-reliance? What determines these trajectories? What are the implications for how we best support countries following a given trajectory toward self-reliance?

As USAID's Policy Framework states, USAID will "undertake strategic transitions where capacity and commitment have reached more advanced levels" (p. 39). Several internal USAID documents (e.g., Evidence Sources #17 and #18) provide support and details on this process of strategic transition, building on previous USAID studies (such as Evidence Source #52) as well as other research. Other pieces of evidence addressing this question include reports generated by researchers working on the Theories of Democratic Change project, sponsored by USAID's Center of Excellence on Democracy, Human Rights, and Governance (Evidence Sources #48 and #49), an ex post evaluation (Evidence Source #40), and a dissertation on aid project exit strategies (Evidence Source #72).

### SRLA QUESTION #3

How are countries, Missions, donors, implementing partners, and local actors using metrics and data to understand progress toward self-reliance? How are they applying this understanding?

Research addressing this question includes a study on integrating the USAID Self-Reliance Country Roadmaps into strategic thinking (Evidence Source #67) and a synthesis of after-action reviews on the new strategic planning process incorporating the Journey to Self-Reliance (Evidence Source #68). Both of these pieces of evidence are internal USAID sources. Publicly available evidence addressing this question includes a literature review on how to encourage the utilization of evidence, both as a full report (Evidence Source #69) and a summary blog post (Evidence Source #114).

#### SRLA QUESTION #4

How can private sector engagement (PSE) support countries in advancing on the Journey to Self-Reliance?

USAID has a number of internal resources drawing on evidence related to PSE, including a Discussion Note on how to integrate PSE into country-level strategic planning (Evidence Source #14), a PSE Resource Guide (Evidence Source #15), and a PSE Toolbox (Evidence Source #16). Publicly available evidence includes case studies and a blog post with tips for PSE (Evidence Sources #96 and #106, respectively). In addition, USAID's PSE Hub has launched a PSE Evidence and Learning Plan (Evidence Source #7). Note that work is underway on a PSE Evidence Gap Map, which will include more than 500 additional resources. This map will be available in 2020.

### **SRLA QUESTION #5**

How can we apply evolving approaches to Financing Self-Reliance (FSR) in different contexts?

USAID's Financing Self-Reliance Framework (Evidence Source #10), along with other resources listed on the internal Financing Self-Reliance webpage (Evidence Source #115), provide evidence and guidelines for FSR's five core areas: Domestic Resource Mobilization (DRM), Public Financial Management (PFM), Fiscal Transparency and Accountability, the Enabling Environment For Private Investment, and Functioning Financial Markets. Additional publicly available evidence includes a number of country case studies (e.g., Evidence Sources #87–94) and some reports related to FSR in the health sector.

#### SRLA QUESTION #6

In what ways can humanitarian assistance approaches and funds contribute to country progress toward self-reliance, while also meeting urgent needs?

Evidence identified so far addressing this question contributes to a deeper understanding of sustainable food security programming through a synthesis of Feed the Future evaluations (Evidence Source #39) and an ex post evaluation of Food for Peace programs in four countries (Evidence Source #40). Several non-USAID reports and websites focus in particular on increasing women's participation, preventing violence against women, and conducting a rapid gender analysis in humanitarian contexts (e.g., Evidence Sources #116, #117, #118, #119).

### SRLA QUESTION #7

How can USAID and its partners foster self-reliance in fragile contexts?

Particularly important in addressing this SRLA question are two publications on preventing extremism and violent conflict in fragile states, one by the U.S. State Department's Office of Foreign Assistance Resources (Evidence Source #83), the other by the U.S. Institute of Peace (USIP) (Evidence Source #71). The first publication reports on the conclusions of the State Department's Strategic Prevention Project, which "affirmed that foreign assistance can help prevent violent conflict when it is sensitive to conflict risks, closely coordinated with diplomacy, and aligned with host-nation and local civil society reformers. However, the Project found that most assistance to fragile states over the past decade was designed to address other development and foreign policy priorities and was not focused on preventing violent conflict." The USIP report agrees that foreign assistance can help prevent violent conflict, stating, "even modest preventive investments — if they are strategic, coordinated, and well-timed — can reduce the risk that extremists will exploit fragile states." Further evidence is needed to supplement the information in these publications on effective approaches to programming in fragile contexts.

#### SRLA QUESTION #8

How can different approaches to the design, procurement, and management of programs foster self-reliance? What promising partner engagement practices emerge from these approaches?

USAID's new Acquisition and Assistance Strategy Evidence Source #19) sets forth principles and goals for changing how the Agency approaches development. The Agency tracks these goals, which include increasing the use of collaborative partnering methods and co-creation, and reports on progress toward ten Key Milestones on the Performance.gov website (Evidence Source #32). USAID has produced some guidance on how to implement the new strategy; especially helpful are write-ups of five different types of adaptive mechanisms (Evidence Source #97).

#### SRLA QUESTION #9

In fostering self-reliance, how can we use influence, knowledge, and convening power to complement projectized support?

As might be expected for this SRLA question, official USAID guidance does not exist, but current policies encourage the use of influence, knowledge, and convening power (e.g., Evidence Source #1). USAID/Pakistan presents an example of the use of such non-projectized support in the write-ups of its Tribal Decade Strategy (Evidence Sources #98, #99, #100). Reports from 2012 and 2015 reviewing lessons learned from non-projectized support in the past are also useful (Evidence Sources #57, #58).

### SRLA QUESTION #10

How can local, sub-national, national, and regional voices, priorities, and contributions be integrated into how USAID fosters self-reliance?

Quite a few sources of evidence have been identified that address the question of local ownership and incorporating voices, priorities, and contributions at all levels in USAID's development processes. There is an official Discussion Note on Local Ownership (Evidence Source #25) as well as official policies on incorporating considerations of gender and youth into programming. These latter two policies have also been assessed; the resulting reports present evidence on how successful the policies have been and make recommendations for further progress (Evidence Sources #36, #37). One of the other initial SRLA products, Inquiry 3 of the Paper Series on Capacity and Capacity Strengthening: Approaches to Strengthening Capacity with Local Actors (Evidence Source #63), presents a useful overview of some of the literature in this area. Other useful sources of evidence include the websites of the Learning Agenda on Local Capacity Development and USAID's Local Works (Evidence Sources #103, #108).

#### SRLA QUESTION #11

How can we engage local and other relevant systems such that they become more self-reliant and sustain results?

A number of sources of evidence have also been identified for this question. USAID's Local Systems Framework (Evidence Source #26) was published five years ago and will be assessed later this year, providing useful evidence for the extent, types,

and effectiveness of local systems programming at USAID. There are also useful studies that have been conducted in particular sectors, such as nutrition (Evidence Source #44), and health (Evidence Source #45, #47).

## SRLA QUESTION #12

How can we best measure USAID's specific contribution to countries' progress on the Journey to Self-Reliance at the local, sub-national, national, and regional levels?

USAID is committed to seeking out the best methods for measuring its contributions toward fostering partner countries' self-reliance and has already generated evidence of various types on the subjects of evidence production, dissemination, and utilization in general. A study on developmental evaluation explores general evidence uptake (Evidence Source #41), and a report and associated blog post review the best ways to encourage the utilization of evidence (Evidence Sources #69, #114). The Evidence Base for Collaborating, Learning, and Adapting (CLA) Evidence Dashboard (Evidence Source #120), case studies from the CLA Case Competition (Evidence Source #95), and a memo on Plausible Contribution Analysis (Evidence Source #70) contain other helpful information about general evidence analysis and utilization that could prove useful when determining USAID's contribution to countries' progress toward self-reliance.

### SRLA QUESTION #13

How can USAID's organizational structures and staffing, policies, guidance, technical assistance, and capacity building enable us to foster self-reliance? In what ways can USAID/Washington provide effective field services to operationalize the Journey to Self-Reliance?

Evidence on how USAID's organizational processes and structures work, including at USAID Missions, can be found in products associated with the Program Cycle Learning Agenda and the Self-Reliance Learning Agenda (Evidence Sources #64–68, #111). In addition, USAID's Bureau of Management's assessments of processes such as the Senior Obligation Alignment Review (SOAR) and the Enterprise Risk Management processes provide useful information about the Agency's organizational practices (Evidence Sources #33, #34). The Self-Reliance Learning Agenda will generate more of this type of evidence in the coming months.

| Source #                             | SRLA Questions this<br>Source of Evidence<br>Addresses | Sources of Evidence   | Genre                                   |  |
|--------------------------------------|--|---|---|--|
| USAID POLICIES AND OFFICIAL GUIDANCE |  |   |   |  |
| I                                    | I, 2, 3, 4, 5, 6, 7, 8, 9,<br>I0, II, I2, I3           | USAID Policy Framework: Ending the Need for Foreign Assistance, 2019  | USAID policies<br>and official guidance |  |
| 2                                    | 4, 7   | USAID Policy Framework White Paper #1 — Global Context and Trends [internal USAID website]  | USAID policies<br>and official guidance |  |
| 3                                    | I  | USAID Policy Framework White Paper #2 — "Ending the Need for Foreign Assistance" [internal USAID website]                                 | USAID policies<br>and official guidance |  |
| 4                                    | 13   | USAID Policy Framework White Paper #3 — USAID of<br>Tomorrow [internal USAID website]   | USAID policies<br>and official guidance |  |
| 5                                    | I, I2  | Organizational Capacity Development Measurement,<br>USAID's Center of Excellence for Democracy, Human Rights,<br>and Governance, May 2017 | USAID policies<br>and official guidance |  |
| 6                                    | 4, 5, 8  | USAID's Private Sector Engagement Policy  | USAID policies<br>and official guidance |  |
| 7                                    | 4  | Private Sector Engagement (PSE) Evidence & Learning Plan  | USAID policies<br>and official guidance |  |
| 8                                    | 3  | USAID Self-Reliance Country Roadmaps Portal   | USAID policies<br>and official guidance |  |
| 9                                    | 3  | Technical Note: Applying the Country Roadmap to the<br>Country Development Cooperation Strategy (CDCS)<br>[internal USAID website]        | USAID policies<br>and official guidance |  |
| 10                                   | 5  | Financing Self-Reliance Framework [internal USAID website]  | USAID policies<br>and official guidance |  |
| 11                                   | 5  | Financing Self-Reliance Fact Sheet  | USAID policies<br>and official guidance |  |
| 12                                   | 5  | Financing Self-Reliance Questions, Data, & Analysis (QDA)<br>Guide [internal USAID website]   | USAID policies<br>and official guidance |  |
| 13                                   | 5  | Financing Self-Reliance CDCS Guidance [internal USAID website]  | USAID policies<br>and official guidance |  |
| 14                                   | 4  | Discussion Note: Integrating PSE into CDCSs<br>[internal USAID website]   | USAID policies<br>and official guidance |  |
| 15                                   | 4  | Private Sector Engagement Resource Guide<br>[internal USAID website]  | USAID policies<br>and official guidance |  |
| 16                                   | 4  | Private Sector Engagement Toolbox [internal USAID website]  | USAID policies<br>and official guidance |  |
| 17                                   | I, 2, I3   | CDCS Guidance for Incorporating the 'Redefining our<br>Relationship with Partner Governments' Initiative<br>[internal USAID website]      | USAID policies<br>and official guidance |  |
| 18                                   | 2  | White Paper on Strategic Transitions and Partnerships<br>[internal USAID website]   | USAID policies<br>and official guidance |  |
| 19                                   | 8  | USAID's Acquisition and Assistance Strategy, 2018   | USAID policies and official guidance    |  |
| 20                                   | 8  | CDCS Process Guidance on the Agency's New Acquisition and Assistance Strategy [internal USAID website]                                    | USAID policies<br>and official guidance |  |
| 21                                   | 13   | Resources to Help Implement the Journey to Self-Reliance<br>throughout the Program Cycle: A Quick Guide<br>[internal USAID website]       | USAID policies<br>and official guidance |  |

| Source # | SRLA Questions this<br>Source of Evidence<br>Addresses | Sources of Evidence   | Genre                                   |
|----------|--|---|---|
| 22       | 13   | Journey to Self-Reliance in Project Design Webinar and Discussion Note [internal USAID website]   | USAID policies<br>and official guidance |
| 23       | 13   | Collaborating, Learning, and Adapting (CLA) and the Journey to<br>Self-Reliance in Streamlined CDCS Planning and Development<br>[internal USAID website]  | USAID policies<br>and official guidance |
| 24       | 8  | USAID's New Partners Initiative — Fact Sheet  | USAID policies<br>and official guidance |
| 25       | 4, 8, 10, 11   | USAID's Bureau of Policy, Planning, and Learning — Discussion<br>Note: Implementing Local Ownership   | USAID policies and official guidance    |
| 26       | II   | Local Systems: A Framework for Supporting Sustained Development   | USAID policies and official guidance    |
| 27       | 11   | USAID's Vision for Health Systems Strengthening   | USAID policies and official guidance    |
| 28       | II   | Maternal Health Vision for Action   | USAID policies and official guidance    |
| 29       | 11   | Climate Change and Development Strategy   | USAID policies and official guidance    |
| 30       | 10, 11   | Research by USAID's Center of Excellence for Democracy,<br>Human Rights, and Governance on women's civic and political<br>participation [internal USAID website]  | USAID policies<br>and official guidance |
| 31       | 10, 11   | 5Rs Technical Note — Promoting Sustainability Through<br>Local Ownership  | USAID policies and official guidance    |
|          |  | ASSESSMENTS OF USAID POLICIES   |   |
| 32       | 8  | EPPR Goal Action Plans and Updates on Performance.gov   | Assessments of<br>USAID policies        |
| 33       | 13   | USAID Bureau of Management, Senior Obligation Alignment<br>Review: After-Action Review  | Assessments of<br>USAID policies        |
| 34       | 13   | USAID Bureau of Management, Enterprise Risk Management:<br>After-Action Review  | Assessments of<br>USAID policies        |
| 35       | I, 4, I3   | Assessment of the Implementation of USAID's Urban Policy:<br>Sustainable Service Delivery in an Increasingly Urbanized World,<br>June 2019  | Assessments of<br>USAID policies        |
| 36       | 10, 13   | Assessment of the Implementation of USAID's Gender<br>Equality and Female Empowerment Policy, June 2016<br>[internal USAID website]   | Assessments of<br>USAID policies        |
| 37       | 10, 13   | Assessment of the Implementation of USAID's Youth in<br>Development Policy, July 2018 [internal USAID website]  | Assessments of<br>USAID policies        |
| 38       | 1, 10, 13  | Assessment of the Implementation of USAID's Democracy,<br>Human Rights, and Governance Strategy, January 2017<br>[internal USAID website]   | Assessments of<br>USAID policies        |
|          | US   | SAID EVALUATION REPORTS AND SYNTHESES   |   |
| 39       | Ι, 6   | USAID Synthesis of Evaluations Related to the Feed the Future Learning Agenda, March 2016   | USAID evaluation reports and syntheses  |
| 40       | 1, 2, 6, 12  | Rogers, Beatrice Lorge and Jennifer Coates, "Sustaining<br>Development: A Synthesis of Results from a Four-Country Study<br>of Sustainability and Exit Strategies among Development Food<br>Assistance Projects," December 2015 | USAID evaluation reports and syntheses  |
| 41       | 9, 11, 12  | USAID Global Development Lab's Developmental Evaluation<br>Pilot, Sustained Uptake  | USAID evaluation reports and syntheses  |

| Source # | SRLA Questions this<br>Source of Evidence<br>Addresses | Sources of Evidence  | Genre                                  |
|----------|--|--|--|
| 42       | 10, 11, 12   | Closing the Loop on Learning: A Review of Local Solutions<br>Evaluation Reports, 2016  | USAID evaluation reports and syntheses |
| 43       | 12   | Hageboeck, Molly, Liz Freudenberger, and Jason Catanach,<br>Learning from Evaluation Syntheses: Technical Report On Making<br>Evidence From Evaluations More Accessible To Decision-Makers,<br>for USAID's E3 Analytics and Evaluation Project, 2019   | USAID evaluation reports and syntheses |
|          |  | NON USAID EVALUATIONS  |  |
| 44       | ١, 6, ١١   | CARE, Ensuring Sustainability: Designing Food and Nutrition<br>Security Programs for Results that Last   | Non-USAID<br>evaluations               |
| 45       | 1, 10, 11  | CARE, Towards Economic and Sexual Reproductive<br>Health Outcomes for Adolescent Girls (TESFA) Ex-Post<br>Evaluation Report  | Non-USAID<br>evaluations               |
|          |  | USAID FUNDED REPORTS   |  |
| 46       | 1, 6, 10, 12   | Self-reliance sector papers in Democracy, Human Rights, and<br>Governance; Economic Growth; Education; Energy; Environment<br>and Natural Resource Management; Gender Equality and<br>Women's Empowerment; Global Health; Resilience and<br>Agriculture, Nutrition, and Water; Urbanization; and Water/<br>WASH [internal USAID website] | USAID-funded<br>reports                |
| 47       | 1, 11  | Naimoli, Joseph and Sweta Saxena, "Impact of Health Systems<br>Strengthening on Health Systems Performance and Outcomes<br>— Marshalling the Evidence: A Status Report," USAID Office of<br>Health Systems Strengthening   | USAID-funded<br>reports                |
| 48       | 2  | Lust, Ellen and David Waldner, Theories of Democratic Change<br>I: Unwelcome Change: Understanding, Evaluating, and Extending<br>Theories of Democratic Backsliding, June 2015   | USAID-funded<br>reports                |
| 49       | 2  | Conroy-Krutz, Jeffrey and Erica Frantz, "Theories of Democratic<br>Change II: Paths Away from Authoritarianism"  | USAID-funded<br>reports                |
| 50       | Ι  | De Silva, Niloufer and Diane Ponasik, USAID's Local Capacity<br>Development Efforts: Past and Present, 2013  | USAID-funded<br>reports                |
| 51       | Ι, 6   | Dichter, Thomas, Joyce Moock, David Joslyn, M. Diane Bellis,<br>"Feed the Future Human and Institutional Capacity Development<br>Strategy Review," May 2015  | USAID-funded<br>reports                |
| 52       | 2  | Martin, Frank D., John Eriksson, and Stephanie McNulty, with<br>assistance from Steve Metzger, "USAID Graduation Recent<br>Experience And Outstanding Issues," USAID Center for<br>Development Information and Evaluation, 1999  | USAID-funded<br>reports                |
| 53       | 5  | Global Health Center for Innovation and Impact — Investing for Impact report   | USAID-funded<br>reports                |
| 54       | 5  | Global Health Center for Innovation and Impact — Greater<br>than the Sum of its Parts: Blended Finance Roadmap for Global<br>Health report   | USAID-funded<br>reports                |
| 55       | 5  | Global Health Center for Innovation and Impact — Unleashing<br>Private Capital for Global Health Innovation report   | USAID-funded reports                   |
| 56       | 6, 7   | USAID's Center for Resilience, Resilience Evidence Forum<br>Report, April 2018   | USAID-funded<br>reports                |
| 57       | 9, 11  | Oakerson, Ronald J., Non-Project Assistance and Policy Reform:<br>Lessons Learned for Strengthening Country Systems —<br>Background paper for the USAID experience summit on<br>strengthening country systems, 2012  | USAID-funded<br>reports                |
| 58       | 9  | Peasley, Carol, Non-Project Assistance Paper, submitted to USAID PPL/LER and PPL/SPP, 2015   | USAID-funded<br>reports                |

| Source # | SRLA Questions this<br>Source of Evidence<br>Addresses | Sources of Evidence   | Genre                     |
|----------|--|---|---------------------------|
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| 60       | 8, 10, 11  | Learning for Locally Led Development: Local Works Global<br>Workshop summary, Philippines, 2019   | USAID-funded<br>reports   |
| 61       | Ι  | Paper Series on Capacity and Capacity Strengthening: Inquiry I:<br>Perspectives on Capacity   | USAID-funded<br>reports   |
| 62       | I, I2  | Paper Series on Capacity and Capacity Strengthening: Inquiry 2:<br>Determining Existing Capacity  | USAID-funded<br>reports   |
| 63       | I, IO  | Paper Series on Capacity and Capacity Strengthening: Inquiry 3:<br>Approaches to Strengthening Capacity with Local Actors   | USAID-funded<br>reports   |
| 64       | I, I3  | Paper Series on Capacity and Capacity Strengthening: Inquiry 4:<br>Development Practitioners' Own Capacities  | USAID-funded<br>reports   |
| 65       | 13   | Report on the Program Cycle Learning Study (forthcoming)  | USAID-funded reports      |
| 66       | 1, 12, 13  | Collaborating, Learning, and Adapting (CLA) Case Competition<br>Self-Reliance Analysis (forthcoming)  | USAID-funded<br>reports   |
| 67       | 3, 12, 13  | Self-Reliance Roadmap Integration into Strategic Thinking:<br>Reflection Session for SPP/Strategy Team, October 26, 2018 —<br>PowerPoint summary of report [internal USAID website]                                   | USAID-funded<br>reports   |
| 68       | 3, 12, 13  | After Action Reviews (plus synthesis) of new CDCS process<br>[internal USAID website]   | USAID-funded<br>reports   |
| 69       | 3, 12  | USAID, Applying Evidence: What Works? A Rapid Literature<br>Review, 2018  | USAID-funded<br>reports   |
| 70       | 12   | USAID, Plausible Contribution Memo  | USAID-funded<br>reports   |
|          |  | NON USAID PUBLICATIONS  |                           |
| 71       | 2, 7   | U.S. Institute of Peace, Preventing Extremism in Fragile States:<br>A New Approach — Final Report of the Task Force on<br>Extremism in Fragile States, February 2019  | Non-USAID<br>publications |
| 72       | 2  | Engels, Jeffrey Edward, "Aid Project Exit Strategies: Building<br>strong sustainable institutions," Dissertation, School of<br>Philosophy, Anthropology, and Social Inquiry, University of<br>Melbourne, January 2010 | Non-USAID<br>publications |
| 73       | 5, 10  | Wainer, Andrew, "Taxation with Representation: Citizens as<br>Drivers of Accountable Tax Policy," Save the Children, 2019   | Non-USAID publications    |
| 74       | 6  | CARE, Ensuring Sustainability: Designing Food and Nutrition<br>Security Programs for Results that Last  | Non-USAID publications    |
| 75       | 6, 10  | Organisation for Economic Co-operation and Development,<br>What Do People Tell Us About the Humanitarian Aid They<br>Receive? June 2019   | Non-USAID<br>publications |
| 76       | 7  | GSDRC Applied Knowledge Services, A Guide to Economic<br>Growth in Post-Conflict Countries  | Non-USAID<br>publications |
| 77       | 10, 12   | Save the Children and Oxfam, The Local Engagement<br>Assessment Framework: A Practitioner's Guide to Integrating<br>Country Ownership into Development Projects   | Non-USAID<br>publications |
| 78       | I, II  | CARE, Sustainability and Equity Review of CARE Zambia's Peri-urban Water & Sanitation Model   | Non-USAID<br>publications |
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| 81       | 6, 10  | Al-Abdeh, Maria and Champa Patel, 'Localising' Humanitarian<br>Action: Reflections on Delivering Women's Rights-based and<br>Feminist Services in an Ongoing Crisis. Gender and Development,<br>Vol. 27(2), 2019               | Non-USAID<br>publications |
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| 85       | 10, 13   | Wildes, Vivienne J., "Barriers and Bridges: USAID Office of<br>Human Resources," July 2014, prepared for the Learning Agenda<br>on Local Capacity Development  | Case studies              |
| 86       | 1  | Country reports — describe the development context, local capacity development marketplace, actors and recommendations for donors in nine countries, prepared for the Learning Agenda on Local Capacity Development, 2012-2013 | Case studies              |
| 87       | 5  | Charting a Path Toward Self-Reliance: Case Studies of Domestic<br>Resource Mobilization (DRM) Reform — Summary of USAID's<br>study of DRM in five countries: Afghanistan, Bosnia, Nepal,<br>Philippines, and Rwanda            | Case studies              |
| 88       | 5  | Domestic Resource Mobilization Case Study: Bosnia and<br>Herzegovina — Leadership in Public Financial Management II<br>(LPFM II), November 2017  | Case studies              |
| 89       | 5  | Domestic Resource Mobilization Case Study: Rwanda —<br>Leadership in Public Financial Management II (LPFM II),<br>February 2018  | Case studies              |
| 90       | 5  | Domestic Resource Mobilization Case Study: Afghanistan —<br>Leadership in Public Financial Management II (LPFM II),<br>March 2018  | Case studies              |
| 91       | 5  | Domestic Resource Mobilization Case Study: The Philippines<br>— Leadership in Public Financial Management II (LPFM II),<br>December 2017   | Case studies              |
| 92       | 5  | Domestic Resource Mobilization Case Study: Nepal —<br>Leadership in Public Financial Management II (LPFM II),<br>November 2017   | Case studies              |
| 93       | 5  | Mobilizing Tax Revenue and Prioritizing Health Spending in El Salvador — A Case Study  | Case studies              |
| 94       | 5  | Government Health Spending and Tax Reform in Rwanda,<br>2000–2013 — A Case Study   | Case studies              |
| 95       | 12, 13   | Collaborating, Learning, and Adapting (CLA) Case<br>Competition cases  | Case studies              |
| 96       | 4, 5, 8  | USAID, Finance Vignette Handbook: A Financing Growth Training  | Case studies              |
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| Source # | SRLA Questions this<br>Source of Evidence<br>Addresses | Sources of Evidence   | Genre              |
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| 101      | 5  | Georgia Financing Self-Reliance Case Study<br>[internal USAID website]  | Case studies       |
| 102      | 5  | Liberia Financing Self-Reliance Case Study [internal USAID website]   | Case studies       |
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| 103      | I, I0  | Website of the Learning Agenda on Local Capacity Development  | Websites and blogs |
| 104      | 2  | McDade, Kaci Kennedy, Osondu Ogbuoji, Marco Schäferhoff,<br>and Gavin Yamey, "How do major health donors prepare for<br>country exits?" Blog, June 2019                                       | Websites and blogs |
| 105      | 3, 11, 12  | Pharmaceutical Systems Strengthening Tool   | Websites and blogs |
| 106      | 4  | Ouellette, Avery, USAID's partnership health: 5 lessons from<br>the private sector, blog post summarizing the Partnership Health<br>Study, August 2016  | Websites and blogs |
| 107      | 5  | The Role of Local Philanthropy in Financing Self-Reliance,<br>blog post about the Facilitating Financial Sustainability research<br>program, May 2019   | Websites and blogs |
| 108      | 8, 10, 11  | USAID's Local Works page on ProgramNet<br>[internal USAID website]  | Websites and blogs |
| 109      | 10   | Save the Children and Oxfam, "The Power of Ownership" —<br>website with report, case studies, and policy recommendations<br>on local ownership  | Websites and blogs |
| 110      | 5  | USAID's Health Finance and Governance Project   | Websites and blogs |
| 111      | 13   | USAID's Program Cycle Learning Agenda   | Websites and blogs |
| 112      | 10, 11, 12   | Evaluating Local Ownership: Applying a Systems Lens to the<br>Journey to Self-Reliance (Evaluation Interest Group Meeting,<br>March 12, 2019) [internal USAID website]                        | Websites and blogs |
| 113      | 11   | USAID's Local Systems website and associated resources<br>[internal USAID website]  | Websites and blogs |
| 114      | 3, 12  | Baker, Matt and Monalisa Salib, Cracking the Evidence<br>Conundrum: Four Ideas to Get People to Use Evidence  | Websites and blogs |
| 115      | 5  | ProgramNet's Financing Self-Reliance webpage<br>[internal USAID website]  | Websites and blogs |
| 116      | 6  | Humanitarian Voice Index  | Websites and blogs |
| 117      | 6  | Barbelet, Veronique, As Local as Possible, as International as<br>Necessary: Understanding Capacity and Complementarity in<br>Humanitarian Action, ODI, 2018, blog post and associated report | Websites and blogs |
| 118      | 6, 10  | International Rescue Committee, Where's the Money? How the<br>Humanitarian System is Failing to Fund an End of Violence<br>Against Women and Girls, 2019, blog post and associated report     | Websites and blogs |
| 119      | 6  | The Grand Bargain website   | Websites and blogs |
| 120      | 10, 12   | Evidence Base for Collaborating, Learning, and Adapting —<br>Evidence Dashboard   | Websites and blogs |
| 121      | 5  | USAID's Local Works Facilitating Financial Sustainability —<br>Research website   | Websites and blogs |
| 122      | 1, 10, 11, 12, 13                                      | Pact's Organizational Performance Index and related resources   | Websites and blogs |