

Position Level Benchmarks for USPSCs

A Mandatory Reference for ADS Chapter 309

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POSITION LEVEL BENCHMARKS FOR USPSCs

INTRODUCTION

The benchmark characteristics for positions equivalent to GS-5 through GS-15 in this guide are designed to assist Requesting Offices, and COs to determine the GS-equivalent grade and corresponding market value for a UPSPC position. PSC positions may be classified below the GS-5 equivalent level, if necessary. The requesting office may consult with the EXO or Human Resources and Talent Management Office (HCTM) for guidance on such classifications. To meet the requirements of <u>AIDAR</u>, <u>Appendix D</u> and <u>ADS 309.3.2.1</u>, the Requesting Office and Contracting Officer/Executive Officer (CO) must determine the level of a U.S. Personal Services Contractor (USPSC) position for work both in the U.S. and overseas.

A. General Guidelines

When determining the GS-equivalent grade for the position, the Requesting Office must adhere to the following requirements:

- The benchmarks provided below contain generic characteristics for a position. If a position description includes duties or functions that are similar to these generic benchmarks, the position may be set at a given grade so long as the Requesting Office justifies the determination that the duties fall within the grade.
- If uncertain of a choice between two grades for a particular characteristic, select the lower of the two grades for that characteristic.
- In the event a position meets characteristics across multiple grades, the recommended position level must be at the GS-equivalent grade for which the position meets the highest number of benchmarks.
- If an equal number of characteristics apply across multiple grades, the lowest GSequivalent grade must be selected as the position level.

B. Salary Level Benchmarks: Grades GS-5 through 15

1. GS-5

This level involves structured work in support of office operations. Clerical work is performed in accordance with established policies, procedures, or techniques. The work requires knowledge of an organization's rules, some degree of subject matter knowledge and skill in carrying out the full range of clerical assignments. Positions at this level normally require at least one year of substantive general office, administrative, or clerical experience.

Clerical work typically involves general officer or program support duties, such as preparing, receiving, reviewing, and verifying documents; processing transactions; maintaining tracking systems; maintaining office records; locating and compiling data or information from files; keeping a calendar and informing others of deadlines and other important dates; and preparing word processing or spreadsheet documents to present information or data to fulfill the duties of the position. Incumbents follow accepted practices in resolving nonrecurring problems and meeting deadlines.

Work is assigned by a supervisor, with on-the-job training provided as needed. Work is reviewed for accuracy, format, etc. and the supervisor is kept informed of work progress. Contacts at the GS-5 level are typically limited to Agency personnel to obtain and provide information of a procedural nature.

2. GS-9

Positions at this level require the exercise of analytical ability, judgment, discretion, and personal responsibility, and the application of a substantial body of knowledge of principles, concepts, and practices applicable to administrative and program issues or studies. While these positions do not require specialized education, they do involve the type of skills (analytical, research, writing, judgment) typically gained through a college level education consisting of two full years at the graduate level or a Master's Degree, or through three years of progressively responsible experience equivalent to this level. This kind of work is typically a service function which supports the Agency's mission or program. Work principally involves dealing with problems and relationships of a procedural nature, rather than the substance of work operations, issues, or other subjects studied.

Incumbents typically prepare program documentation; maintain tracking systems to monitor goals and expenditures; and draft recurring reports. Incumbents are required to brief managers on study findings and recommendations. These reports and recommendations influence decisions by managers concerning the internal operations of the organization and the activities studied.

Contacts at this level are typically made to obtain or exchange factual information and to provide advice to managers on non-controversial issues and concerns. Contacts are usually limited to immediate Agency personnel and include minimal substantive contacts with the general public.

The supervisor typically assigns projects and sets deadlines for completing the work. Findings and recommendations developed by the incumbent are reviewed for appropriateness prior to release or discussion with management officials.

Knowledge of established management/program principles, pertinent regulations, and internal guidelines, plus skills in conducting fact-finding studies, are required.

3. GS-13, 14 and 15

The Requesting Office must provide substantial justification for determining a position level at the GS-13, 14, or 15 grade level. A position at these levels typically includes responsibilities critical to Agency operations and management, and often includes inherently governmental functions. *A USAID USPSC may perform certain inherently governmental functions subject to the limitations in <u>AIDAR Appendix D, sec. 4(b)</u>. The Requesting Office must review work requirements for positions proposed at the GS-13, 14, or 15 levels for possible assignment to USDH employees and must ensure that the Position Description does not include duties that are listed as limitations in AIDAR Appendix D, sec. 4(b), unless a specific exception or authorization has been obtained, as required.*

Differences in characteristics between GS-13, 14 and 15 levels are far less distinct than those between the lower GS levels. The most significant differences are outlined in the first Summary Table in the areas of *Responsibility for Decision Making*, *Knowledge Level, Scope/Effect, Performance Guidelines, and Contacts*. Detailed benchmark characteristics provided in the second table for positions at these grades are to demonstrate the differences between salary classes.

4. Interpolating Between Grades GS 5, 9 and 13

The Requesting Office and CO can determine if a position falls above or below the grade levels described by extending the benchmarks as needed to meet specific performance requirements. In order to interpolate between the levels provided, the Requesting Office and CO must consider the following:

- The degree of responsibility for decision making assigned to the position;
- The level of resources allocated to the assignment;
- The complexity of the host government's organizational structure, and its effect on the incumbent's responsibilities;
- The level of management expertise in host-country offices;
- The stage of the activity (formative or established);
- The level of contacts outside the Agency;
- The level of work assignments (Is the assignment a portion of a larger study or activity, or an entire results package?);
- The level of supportive work in a professional or administrative field;
- The level of knowledge/experience required to perform work assigned; and/or,
- The level of supervision required over the position.

FOR GRADES GS-13, 14, and 15				
	GS-13	GS-14	GS-15	
RESPONSIBILITY	Performs under	Performs under general	Performs under	
FOR DECISION	administrative	administrative	administrative	
MAKING	direction with latitude	discretion with wide	discretion; work of	
	for the exercise of	latitude for the exercise	significant difficulty	
	independent	of independent	which has	

SUMMARY OF KEY DIFFERENTIATING CHARACTERISTICS FOR GRADES GS-13, 14, and 15

	GS-13	GS-14	GS-15
	judgment; exercises independent judgment in areas such as program/project management, though not a policy-maker	judgment; work of significant difficulty and responsibility; has formal decision making authority in broad program area	demonstrated leadership and exceptional attainments; typically serves as highest- level decision maker
KNOWLEDGE LEVEL	Requires mastery of an area of specialization	Requires mastery of an area of specialization but assignments are complicated by interconnected issues, multi-disciplinary approaches, conflicts among possible technical solutions, etc.	Requires mastery of a very broad field of expertise
SCOPE/EFFECT	Considered an expert; consulted by specialists/senior specialists; when position requires development of regulatory or legislative proposals, incumbent is assigned a narrow program area	Considered an expert and consulted by other experts; assignments reflect wide program area; projects range from regional to national impact	Nationally known expert in broad field; resolves disputes that arise when leading experts disagree; work is <u>of national</u> <u>significance</u> or deals with problems that are rapidly evolving and have impact on a major department (ex., Department of State)
	Work may require team leader responsibilities, leading/coordinating work of other professional staff	Work typically requires formal team leader responsibilities for coordinating/directing work of other professional staff	or legislation Key responsibility of work is leading/directing (directly/indirectly) a substantial number of other professional/technical staff
PERFORMANCE GUIDELINES	Available and applicable, but stated in general terms	Broadly stated/non- specific; judgment/ingenuity required to interpret and develop applications/guidelines	N/A
CONTACTS	<mark>Senior</mark> professionals/officials	Senior management officials	Highest level of officials (SES/corporate executive and above), and contacts may

GS-13	GS-14	GS-15
		extend to international
		organizations

DETAILED BENCHMARK CHARACTERISTICS FOR GRADS GS-13, 14, and 15

	GS-13	GS-14	GS-15
RESPONSIBILITY	Performs under	Performs under	Performs under
FOR DECISION	administrative	general	general
MAKING	direction, with latitude	administrative	administrative
	for the exercise of	discretion, with	direction,
	independent	wide latitude for	with wide latitude
	•	the exercise of	
	judgment, work of		for the exercise of
	unusual difficulty and	independent	independent
	responsibility	judgment, work of	judgment, work of
	requiring extended	significant difficulty	significant
	professional,	and responsibility	difficulty and
	scientific, or technical	along special	responsibility
	research training and	technical,	along special
	experience which has	supervisory, or	technical,
	demonstrated	administrative	supervisory, or
	leadership and	lines, which has	administrative
	marked attainments	demonstrated	lines, which has
	in professional,	leadership and	demonstrated
	scientific, or technical	unusual	leadership and
	research, practice or	attainment	exceptional
	administration.	 Work may include 	attainments
	Exercises	areas such as	 Work may include
	independent	planning and	areas such as
	judgment in areas	executing major	planning and
	such as	professional,	directing/executin
		scientific,	
	program/project	-	g specialized
	management and	technical,	programs of
	influences program	administrative,	marked difficulty,
	policy by reason of	fiscal or other	responsibility and
	recognized	specialized	national
	expertise in the	programs that	<u>significance</u> ,
	particular field of	demonstrates	along
	development	unusual	professional,
	assistance, although	attainment, with	scientific,
		wide latitude for	technical,
	not a policy-maker	exercise of	administrative,
	 Work requires the 	independent	fiscal, or other
	exercise of	judgment and	lines, requiring
	discretion,	decision making	extended training
	judgment, and		and experience
	personal		which has
	responsibility.		demonstrated
	rooponoionity.		leadership and
			exceptional
			CACCPUOLIAI

	GS-13	GS-14	GS-15
			attainments
KNOWLEDGE LEVEL	 Requires mastery of an area of specialization, science, learning professional or administrative field to: Apply experimental theories and new developments to problems not susceptible to accepted methods Knowledge acquired through education equivalent to the graduate or higher degree level with significant study in or pertinent to the specialized field, as distinguished from general education, or five years of progressive responsible experience equivalent to this level in the professional or technical field 	 Requires mastery of an area of specialization but assignments are complicated by interconnected issues, multi- disciplinary approaches, conflicts among possible technical solutions, etc. Other knowledge levels are the same as GS-13 	 Requires mastery of a very broad field of expertise Requires mastery of a professional or administrative field to: Apply experimental theories and new developments to problems not susceptible to accepted methods Knowledge acquired through education equivalent to the graduate or higher degree level with significant study in or pertinent to the specialized field, as distinguished from general education, or five years of progressive responsible experience equivalent to this level in the professional or technical field Generates and develops new hypotheses and theories
SUPERVISORY CONTROLS	 Supervisor sets overall objectives Incumbent and supervisor together develop deadlines, projects and work to 	 Supervisor provides administrative direction in terms of broadly defined missions or 	 Same as GS- 14

	GS-1 3	GS-14	GS-15
	 be done Incumbent independently plans, designs, and carries out project, studies, and programs Completed work is reviewed only from an overall standpoint in terms of feasibility, compatibility with other work, or effectiveness in meeting requirements, or expected results Technical problems are generally resolved without reference to supervisors. 	 functions Incumbent independently plans, designs and carries out project, studies, and programs Results of the work are considered technically authoritative There is no higher level official technically responsible for administering the program/project 	
PERFORMANCE GUIDELINES	 Administrative policies and precedents are applicable but are stated in general terms (i.e., Agency policy). Guidelines are scarce or of limited use The incumbent uses initiative and resourcefulness in deviating from traditional methods or in researching patterns and trends to develop new methods, criteria or proposed policies Technical work at this level requires expertise in specialized fields. Assignments include providing technical advice in the formulation of overall country programs and 	 Guidelines are broadly stated and non-specific (e.g., basic legislation) Judgment and ingenuity is required to interpret the intent of guides and to develop applications/guidel ines 	• Same as GS- 14

	GS-13		GS-14		GS-15
COMPLEXITY	 strategies and in the planning, development, design, evaluation, and implementation of activities. Assignments are generally received in terms of general objectives. Duties are 	•	Same as GS-	•	Duties are
	 significantly varied and require many different unrelated processes and methods that are applied to a broad range of problems/situations that require a substantial depth of analysis Decisions that must be made involve major areas of uncertainty in approach, methodology, interpretation and evaluating processes There are continuing changes in program, technological developments, unknown phenomena, or continuing requirements The work requires originating new techniques, establishing criteria, or developing new information Incumbents typically identify and develop ways to resolve problems or cope with issues which directly affect the accomplishment of principal program goals 	13		•	significantly varied and require many different unrelated processes and methods that are applied to a broad range of problems/situatio ns that require a substantial depth of analysis Decisions involve largely undefined areas and issues and require extensive probing and analysis to determine the scope of the problem There are continuing changes in program, technological developments, unknown phenomena, or conflicting requirements The work requires originating new techniques, establishing criteria, or developing new

	GS-13	GS-14	GS-15
	and objectives. Incumbents may develop new ways to resolve major problems, or plan the most significant aspects of professional or scientific programs.		 information Assignments are of considerable breadth and intensity and require the participation and support of others The work requires a continuing effort to establish concepts, theories or programs, or to resolve unyielding problems
SCOPE AND EFFECT	 Work involves establishing criteria, formulating projects, assessing program effectiveness, or investigating or analyzing a variety of unusual conditions, problems or questions Work product or service affects a wide range of agency activities, major activities, industrial concerns or the operations Work typically includes planning, organizing, directing, designing and coordinating programs and/or projects, requiring creativity and support efforts of others; or work 	 The work involves isolating and defining unknown conditions, resolving critical problems, or developing new theories The incumbent is recognized as an expert to the experts and his/her work affects the work of other experts The work directly affects the development of major aspects of administrative or professional programs or Missions, or the well being of a substantial number of people Work <i>typically</i> requires formal responsibilities for leading teams, guiding and coordinating the 	 The work involves isolating and defining unknown conditions, resolving critical problems, or developing new theories The incumbent is recognized as an expert to the experts and his/her work affects the work of other experts The work directly affects the development of major aspects of administrative or professional programs or Missions, or the well being of a substantial number of people The work involves planning, developing and carrying out vital programs which

 GS-13	GS-14	GS-15
 GS-13 entails conducting evaluations to determine the feasibility of various advanced approaches to define concepts and criteria for future programs or to resolve major controversial problems in current programs. Work may require working as a team leader, guiding and coordinating the work of other professions or technical staff Typical assignments require developing detailed plans, goals, and objectives for the long range implementation and administration of the program, or developing the criteria for evaluating the effectiveness of the program. Completed work is reviewed for feasibility in relation to requirements, and for conformance with overall policy and program objectives. Recommendations of incumbents at this level are normally accepted by others as those of a specialist. Typical of this level 	GS-14 work of other professional or technical staff	 GS-15 are essential to the mission of the Department (i.e., beyond Agency-level) or affect substantial numbers of people <i>Key responsibility</i> of the work is to lead, direct and otherwise affect a substantial number of other professional or technical staff

	GS-13	GS-14	GS-15
PERSONAL CONTACTS	 are professional or technical assignments involved with project or program management, and with project development. Contacts are with individuals or groups from inside and 	 Contacts are with individuals or groups from inside 	 Contacts are with high ranking officials (SES,
	 outside of the Agency Contacts typically take place in moderately unstructured settings (i.e., not routine coordination meetings) The role and authority of each party is identified and developed during the course of the contact Typical contacts are those with senior level professionals, contractor representatives and representatives of professional organizations Provides technical advice in the area of specialty in formulation of related higher-level programs 	 and outside of the Agency Contacts typically take place in moderately unstructured settings (i.e., not routine coordination meetings) The role and authority of each party is identified and developed during the course of the contact Typical contacts are those with attorneys, contractor executives, representatives of professional organizations, the news media or public action groups 	 corporate executive or above) at the national and international levels from outside the department (i.e., beyond the Agency level) Officials contacted are relatively inaccessible Various parties may be unclear as to the role and authority of the other parties Contacts are conducted under differing ground rules Contacts typically include Members of Congress, leading representatives of foreign Governments, presidents of large national & international firms, nationally recognized representative of the news media,

	GS-13	GS-14	GS-15
PURPOSE OF CONTACTS	 To influence/motivate people or groups. 	 To influence, motivate, control 	 presidents of national unions, state governors, and mayors of large cities To justify, defend, negotiate and
	 The people contacted may be fearful, skeptical or uncooperative The incumbent must be skillful in determining the appropriate approach in negotiating and persuading others, and in establishing rapport in order to gain and relay information. Typically, incumbents consult with other professional or technical personnel, and user organizations, to develop the objectives in mre detail and to reconcile conflicts. 	or direct people or groups. The people contacted may be fearful, skeptical or uncooperative • The incumbent must be skillful in determining the appropriate approach in negotiating and persuading others, and in establishing rapport in order to gain and relay information, including decision makers.	 settle matters involving significant or controversial issues among decision makers The work usually involves active participation in conferences, meetings, hearings or presentations involving problems or issues of considerable consequence or importance The people contacted typically have diverse viewpoints, goals or objectives, and therefore the incumbent is required to achieve a common understanding among the various parties and create a satisfactory solution that addresses their various objectives and concerns.

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